

NON-DISCLOSURE AGREEMENT

This Agreement is entered into by and between:

_____, having its principal place of business at _____ (“**Company**”), herein represented by _____ duly authorized to sign the present agreement on behalf of Company as s/he so declares;
AND

CONCORDIA UNIVERSITY, a corporation duly incorporated by the *Concordia University Act*, S.Q. 1948, c. 91 as amended by S.Q. 1959-60, c. 191 and S.Q. 2006, c. 69 having its head office at 1455 de Maisonneuve Blvd. West, suite GM 801, City of Montreal, Province of Quebec H3G 1M8, (“**Concordia**”) herein acting and represented by Dr. Justin Powlowski, Associate Vice President, Strategy and Operations, duly authorized as he so declares.

Hereinafter individually referred to as a “**Party**” and collectively as the “**Parties**”.

WHEREAS the Parties wish to exchange information for the sole purpose of [DESCRIPTION OF PURPOSE FOR WHICH INFORMATION IS TO BE EXCHANGED] (the “**Purpose**”); and

WHEREAS the Parties desire to protect the confidentiality of such information;

NOW THEREFORE in consideration of the mutual covenants and obligations contained herein and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the Parties agree as follows:

1. **DEFINITIONS**

In this Agreement, the following terms will have the following meanings:

“**Affiliate**” means any legal entity that a Receiving Party Controls, is Controlled by, or with which it is under common Control, where Control means to own or control, directly or indirectly, over 50% of voting shares.

“**Business Day**” means any day other than a Saturday, Sunday or statutory holiday in the Province of Quebec;

“**Confidential Information**” means any information which is confidential in nature or that is treated as being confidential by the Disclosing Party, whether such information is or has been conveyed to a Receiving Party orally or visually or in written or other tangible form, and whether such information is received or accessed by a Receiving Party, directly or indirectly, such as in the course of discussions or other investigations; provided that if such information is in tangible form it will be marked with a restrictive legend of the Disclosing Party, and for any

information disclosed orally, it shall be identified as such by the Disclosing Party at the time of disclosure and reduced to writing in summary form, marked with a restrictive legend, and delivered to the Receiving Party within thirty (30) days of the oral or visual disclosure.

“Corporate Advisors” means any lawyers, accountants, financial and other professional advisors of Receiving Party who are required by law, by contract or otherwise to keep all Confidential Information that may be disclosed to them by Receiving Party in confidence to at least the same extent as Receiving Party is bound to keep Confidential Information in confidence hereunder.

“Disclosing Party” means a Party that discloses Confidential Information to the other Party pursuant to this Agreement.

“Effective Date” shall mean XXXX

“Receiving Party” means a Party that receives Confidential Information from the other Party pursuant to this Agreement.

2. USE AND DISCLOSURE OF CONFIDENTIAL INFORMATION

- 2.1 Receiving Party agrees that it shall not use any of a Disclosing Party’s Confidential Information for any reason other than the Purpose, or copy or otherwise reproduce Disclosing Party’s Confidential Information, or disclose, disseminate or otherwise communicate in whole or in part any of Disclosing Party’s Confidential Information to any third party. Receiving Party may disclose the Disclosing Party’s Confidential Information to those of its officers, directors, employees, contractors, (including those of its Affiliates) or Corporate Advisors who have a need to know such Confidential Information provided that such individuals have entered into agreements with the Receiving Party with obligations of confidentiality no less stringent than the obligations of Receiving Party under this Agreement.
- 2.2 Where Confidential Information is disclosed verbally or visually and the Disclosing Party requires that the Receiving Party maintain the confidential nature of the Confidential Information, the Disclosing Party shall express a verbal statement of confidentiality at the time of disclosure together with confirmation in writing within thirty (30) days of such disclosure.
- 2.3 Receiving Party agrees that it shall safeguard Disclosing Party’s Confidential Information from disclosure to anyone other than as permitted hereby and, at a minimum, use efforts commensurate with those that Receiving Party employs for protecting the confidentiality of its own information, which it does not wish to disclose, which efforts shall in no event amount to less than a reasonable degree of care.

- 2.4 In the event that a Receiving Party becomes legally compelled by law, regulation or order of court or administrative body to disclose any of a Disclosing Party's Confidential Information, such Receiving Party shall be entitled to disclose such Confidential Information subject to the requirements of this Section 2.4. Such Receiving Party shall provide the Disclosing Party with prompt written notice of such requirements so that the Disclosing Party may seek a protective order or other appropriate remedy and/or waive compliance with the terms of this Agreement. In the event that such protective order or other remedy is not obtained, or that the Disclosing Party waives compliance with the provisions hereof, the Receiving Party agrees to furnish only that portion of the Disclosing Party's Confidential Information which is legally required and to exercise best efforts to obtain assurances that the information disclosed will be treated in confidence.

3. **EXCEPTIONS**

- 3.1 Notwithstanding anything to the contrary herein, the following will not constitute Confidential Information for the purposes of this Agreement:

- 3.1.1 information that a Receiving Party can show, by documentary and competent evidence, was known by it prior to the disclosure thereof to the Receiving Party;
- 3.1.2 information that is or becomes generally available to the public other than as a result of disclosure directly or indirectly by a Receiving Party in breach of this Agreement;
- 3.1.3 information that is or becomes available to a Receiving Party on a non-confidential basis from a source other than the Disclosing Party, provided that such source is not in breach of its obligations of non-disclosure towards the Disclosing Party;
- 3.1.4 information that a Receiving Party can show, by documentary and competent evidence, to have been developed independently by Receiving Party without using the Disclosing Party's Confidential Information of the Disclosing Party; or
- 3.1.5 information of which the Disclosing Party has authorized the unrestricted disclosure.

4. **NO IMPLIED OBLIGATIONS**

Unless a written agreement between the Parties with respect to the Purpose is executed, if any, and except for the matters specifically agreed to herein, no Party will be under any legal

obligation of any kind whatsoever with respect to the Purpose or otherwise by virtue of this Agreement or any written oral expression with respect to the Purpose by any of their respective directors, officers, employees or agents.

5. OWNERSHIP

All Confidential Information is and shall remain the property of the Disclosing Party. Nothing in this Agreement is to be construed as granting a Receiving Party any title, ownership, or any license under any patents, copyrights or other intellectual property rights in any of the Disclosing Party's Confidential Information.

6. INDEPENDENT DEVELOPMENT

Disclosing Party acknowledges that a Receiving Party may currently be developing information, may develop information in the future, or may receive information from third parties that may be similar to Disclosing Party's Confidential Information. Accordingly, nothing in this Agreement will be construed as a representation that a Receiving Party will not develop, communicate or use technology, information or products that, without violation of this Agreement, compete with or are the same or similar to the Confidential Information disclosed to the Receiving Party by the Disclosing Party under this Agreement.

7. NO WARRANTY OF ACCURACY

The Disclosing Party does not make any representations or warranty as to the accuracy or completeness of the Disclosing Party's Confidential Information. Each Receiving Party agrees that the Disclosing Party will not have any liability to a Receiving Party resulting from any use of the Disclosing Party's Confidential Information by a Receiving Party.

8. TERM, TERMINATION AND CONFIDENTIALITY PERIOD

This Agreement shall commence on the Effective Date, and unless earlier terminated in accordance herewith, shall continue in force for a period of [NUMBER OF] year(s) from the Effective Date provided that the Parties agree that their rights and obligations hereunder shall remain in force and effect after the expiration hereof, regardless of cause, with respect to any of Disclosing Party's Confidential Information which has been disclosed to Receiving Party prior to such expiration or termination.

- 8.1 A Party may terminate this Agreement, without cause, upon twenty (20) Business Days' prior written notice to the other Party;
- 8.2 Upon Disclosing Party's request and, in any event, when this Agreement has expired or terminated each Receiving Party will promptly return to each Disclosing Party, or certify as destroyed, all Disclosing Party's Confidential Information in written or other tangible

form and all copies thereof. Notwithstanding the foregoing, each Receiving Party may retain one (1) sealed copy of a Disclosing Party's Confidential Information for evidentiary purposes.

9. REMEDIES

Receiving Party acknowledges that the disclosure of the Disclosing Party's Confidential Information in breach of this Agreement may result in irreparable injury to Disclosing Party for which monetary damages alone would not be an adequate remedy. Therefore, Receiving Party agrees that in the event of a breach or threatened breach of Receiving Party's confidentiality obligations hereunder, Disclosing Party will be entitled to specific performance and injunctive relief as remedy for any such breach or anticipated breach without the necessity of posting security. Any such relief shall be in addition to and not in lieu of monetary damages.

10. GENERAL PROVISIONS

10.1 Assignment. This Agreement may not be assigned by any Party, in whole or in part, without the other Party's prior written consent. Assignment shall not relieve a Party of its obligations hereunder.

10.2 Governing Law. This Agreement shall be governed by and construed in accordance with the laws of Quebec and the laws of Canada applicable therein. The Parties hereby irrevocably attorn to the exclusive jurisdiction of the courts of the Province of Quebec for any legal proceedings arising out of this Agreement or the performance of the obligations hereunder.

10.3 Notices: All notices under the terms of this Agreement shall be given in writing and sent by registered mail, electronic mail, or delivered by hand to the following addresses:

CONCORDIA UNIVERSITY
1455 de Maisonneuve Blvd. West
GM-910
Montréal, Québec
H3G 1M8
Email :

[NAME OF OTHER PARTY] (COMPANY)
Address:

Email :

Attention:

Attention:

All notices shall be presumed to have been received when they are hand delivered, or five (5) Business Days after their mailing, or on the Business Day following the day of transmission by electronic mail.

- 10.4 Export Controls. The Parties shall comply with any law, by-law, regulation, rule, order, ruling, policy or directive, from any relevant jurisdictional authority or body, governing or controlling the transfer, export, retransfer, re-export or furnishing of information. The Disclosing Party shall inform the Receiving Party of any restriction or control applying to the circulation of any given information prior to disclosing such information to the receiving Party, or as soon as possible after such restriction or control comes into force. Neither Party will disclose information under this Agreement that is controlled or otherwise restricted from use or export under the International Traffics in Arms Regulations (ITAR).
- 10.5 Severability. If any provision, or portion thereof, of this Agreement is determined by a court of competent jurisdiction to be invalid, illegal or unenforceable, such determination shall not impair or affect the validity, legality or enforceability of the remaining provisions of this Agreement, and each provision, or portion thereof, is hereby declared to be separate, severable and distinct.
- 10.6 Waiver. A term or condition of this Agreement can be waived or modified only by written consent of all Parties. No failure or delay in exercising any right, power or privilege hereunder shall operate as a waiver thereof, nor shall any single or partial exercise thereof preclude any other or further exercise thereof or the exercise of any right, power or privilege hereunder.
- 10.7 Remedies cumulative. No single or partial exercise of any right or remedy under this Agreement shall preclude any other or further exercise of any other right or remedy in this Agreement or as provided at law or in equity. Rights and remedies provided in this Agreement are cumulative and not exclusive of any right or remedy provided at law or in equity.
- 10.8 Amendment. This Agreement may only be amended by written agreement duly executed by the authorized representatives of the Parties.
- 10.9 Advice of Counsel. Each Party to this Agreement represents and warrants to each other that such Party has read and fully understands the terms and provisions hereof and has executed this Agreement based upon such Party's own judgment and advice of independent legal counsel (if sought).
- 10.10 Language. The Parties hereto have requested that this Agreement and all correspondence and all documentation relating to this Agreement, be written in the English language. Les parties aux présentes ont exigé que la présente entente, de même que toute la correspondance et la documentation relative à cette entente, soient rédigées en langue anglaise.

10.11 Entire Agreement. This Agreement constitutes the complete and exclusive statement of the terms and conditions between the Parties with respect to the subject matter hereof and supersedes all prior and contemporaneous oral or written statements which are inconsistent herewith.

10.12 Signatures. This Agreement may be executed in counterparts, each of which shall be deemed an original and all of which together shall constitute one and the same instrument, and signatures transmitted by facsimile or in a PDF file shall be acceptable to bind each Party and shall not affect the validity of the Agreement in any way.

AGREED TO AND SIGNED by the duly authorized representatives of the Parties.

CONCORDIA UNIVERSITY

COMPANY

By:

By:

Name:

Name:

Title:

Title:

Date:

Date:

Acknowledgement to a Non-Disclosure Agreement

I, Dr. [researcher's name], having read and understood the Non-Disclosure Agreement (the "NDA") to be entered into between [company name] ("Company") and Concordia University ("Concordia"), hereby agree to act in accordance with all the terms and conditions therein.

I further agree to ensure that all Concordia participants who will be provided access to Company's Confidential Information, as such term is defined in the NDA, are informed of their obligations under such terms and conditions, and to procure from them an executed Intervention of a Participant, attached hereto as Appendix A, prior to providing them access to the Confidential Information.

[print name]
Recipient Scientist

Date:_____

Appendix A

INTERVENTION OF A PARTICIPANT

I, [print name], understand that information to which I will be provided access (the “**Confidential Information**”) is subject to the terms and conditions of a Non-Disclosure Agreement (the “**NDA**”) entered into between [company name] and Concordia University (“**Concordia**”). I hereby agree:

- (a) to maintain the confidentiality of the Confidential Information;
- (b) to use such Confidential Information only as directed by Dr. [researcher's name];
- (c) to return to Dr. [researcher's name] the Confidential Information, and all copies thereof, upon: i) receipt of a written request to this effect from Dr. [researcher's name], or ii) the completion or termination of my participation in the research project for which the use of the Confidential Information is provided;
- (d) to cooperate with Concordia in ensuring compliance with all applicable export regulations and restrictions which may apply to the Confidential Information.

By signing below, I further acknowledge that I have read, understood and agree to abide all relevant University policies, such as they apply to me, including but not limited to the *Policy on Intellectual Property* (VPRGS-9), the *Policy on Conflicts of Interest in Research* (VPRGS-5), the *Code of Ethics and Safe Disclosure Policy Applicable to Employees of Concordia University* (BD-4) and the *Policy on Postdoctoral Fellows* (VPRGS-4).

Signature:

Date: _____